



# Voice and Choice in Translational Research: A case for the “new” community of practice model

Corinne Valdivia  
University of Missouri

# Outline

- Why choice and voice
- Lessons from science & translational research with smallholder farmers
- The translational research process and the “new” community of practice

Take away message



# Outline

Why choice and voice



*Women and men farmers make decisions every day that impact the livelihoods and wellbeing of their families in rural communities.*

*Meaningful knowledge:* relevant, in the language and context of the decision maker, and from a trusted source. A necessary condition, but is it sufficient?



# Rosita & Corinne: learning about the biophysical indicators in Puno, Peru



So what: why do we care?



M and U  
NOAA  
CENTRO INTERNACIONAL DE LA TIERRA  
undp Bolivia  
CIRNMA  
PROINPA

Projections of Climate Change  
In the past 50 years the temperature in this  
region increased 1 degree Celsius  
by 2090: the Altiplano will dryer in Spring,  
wetter in Summer

(Seth et al. Annals of Geography, 2010)

4 degree Celsius increase in temperature!

(Thibeault et al. J. Geophysical Research, 2010)

Illampu, Bolivia 2009

More loss of soil moisture by end of the century,  
even during the wetter rainy season.

(Thibeault, J., A. Seth, and M. Garcia. 2010. Changing climate  
in the Altiplano: CMIP3 projections for temperature and precipitation extremes.  
*Journal of Geophysical Research-Atmospheres*)

It matters because there are a lot of changes going on that are creating new conditions that can't be dealt solely with local or scientific knowledge

Context: Transformational changes affecting rural communities that create uncertainty and risks

Climate

Global Markets

Environment

Population Growth

Government Policies

# Outline

How science informs translational research with smallholder farmers



## Uncertainty & decision making

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### Example: forecasts in agriculture

- Scientists and farmers knowledge systems are very different. Scientists using rules based systems, farmers association based systems (experiential).
- When the results of associational and rules based systems conflict, the decision maker reverts to his or her own experience, more so when insecure (Slovic and Weber, 2002).
- In the case of farmers, Rosita, when the results of traditional/local knowledge system and probabilistic forecasts conflict, they will tend to rely on her own assessment, her local knowledge.

# Families with low income & many risks that threaten their livelihoods have very high feelings of dread



North Altiplano Communities		Average
Family Income:	Ag & Non Ag	
Total Income (cash & in-kind)		\$627

80% of families lost crops to pests

Edu

Far

The likelihood of taking chances with new knowledge is very low when living in a context of uncertainty and food insecurity.

Perce

1 n

2 a

4 a very strong threat

5 an extreme threat

Index

Impact of frosts 4.06

Impact of pests 4.11

Loss of soil fertility 4.00

50% lost animals because of diseases



Two-way participatory communication can enhance trust (Wilkins, 2001). Participatory workshops can be effective in communicating forecasts (Patt et al, 2005, Zimbabwe).

How to engage in meaningful knowledge



Valdivia, C., A. Seth, J. Gilles, M. García, E. Jiménez, E. Yucra, J. Cusicanqui and F. Navia. 2010. Adapting to Climate Change in Andean Ecosystems: Landscapes, Capitals, and Perceptions Linking Rural Livelihood Strategies and Linking Knowledge Systems.

*Annals of the Association of American Geographers.* DOI: 10.1080/00045608.2010.500198

Monitoring climatic conditions in the Andes is a challenge for public institutions, but a local network can support the process.

How?



## LOCAL FORECAST COMMUNICATION IN THE ALTIPLANO

BY JERE L. GILLES AND CORINNE VALDIVIA

According to data from two Altiplano communities, Andean farmers do not use the forecasts broadcast by national weather services . . . so what forecast information do they use?

**A**gricultural production systems are extremely sensitive to climate variability. This is especially true for small farmer production systems in developing countries where there are few resources

Gilles, J. and C. Valdivia. 2009. *Bulletin of the American Meteorological Society (BAMS)*. 90 (January 1): 85-91.

Annual meeting of all stakeholders in the collaborative research

How to engage?

Support Program in Puno Peru



A process that engages farmers, researchers, public officials, universities & other stakeholders that building capacities and networks of collaboration

# Developing formal agreements with communities that include the most vulnerable.

How to engage



Why & how?

# Pachamama



SANREM CRSP



Soils are a key resource, the natural capital, Pachamama



Aguilera, J., P. P. Motavalli, et al. 2012. Initial and Residual Effects of Organic and Inorganic Amendments on Soil Properties. *American Journal of Experimental Agriculture* 2(4):641-666.

Motavalli, P., J. Aguilera, et al. (In press) *Communications in Soil Science and Plant Analysis*

Gomez-Montano, L., A. Jumpponen, M. A. Gonzales, J. Cusicanqui, C. Valdivia, P. Motavalli, M. Herman, K. Garrett. 2013. *Soil Biology and Biochemistry*

# Outline

The translational research process  
&  
the “new” community of practice



## Choice and Voice: Creating a New Community of Practice (Meyers, Gilles, Hendrickson, Dandala, Schneeberger & Folk)

People talk about how GM can benefit farmers but the talk voice never comes from the farmers themselves.

Involved farmer research and the involvement of research and extension decision makers.

Allowed farmers to try the technology under their own conditions, after training session.

Made stakeholder decision makers aware of the constraints of farmers. They were concerned with labor constraints and weeds rather than yields.



## Continued...

The context:

Government policies that promote black commercial agriculture

A welfare system that both facilitates and constraints investment in agriculture

The ability to market significant surpluses was a big challenge



**More but still limited knowledge of improved maize practices**



**Storage issues as well as access to markets**



**Credit issues / Access to finance**

# Developing Meaningful Knowledge: Benefits Risks and Unintended Consequences of GM Cassava for Food Security in Kenya (James, Valdivia, Folk, Murithi)







# Translational Research

6. Information in the context of the decision makers: vulnerable, secure, women and men



5. Information sharing with scientists for feedback to farmers



1. Understanding the vulnerability context and insecurity

Participatory feedback process with farmers and local scientists

Participatory research with farmer groups

3. Access to information, trusted sources & technologies

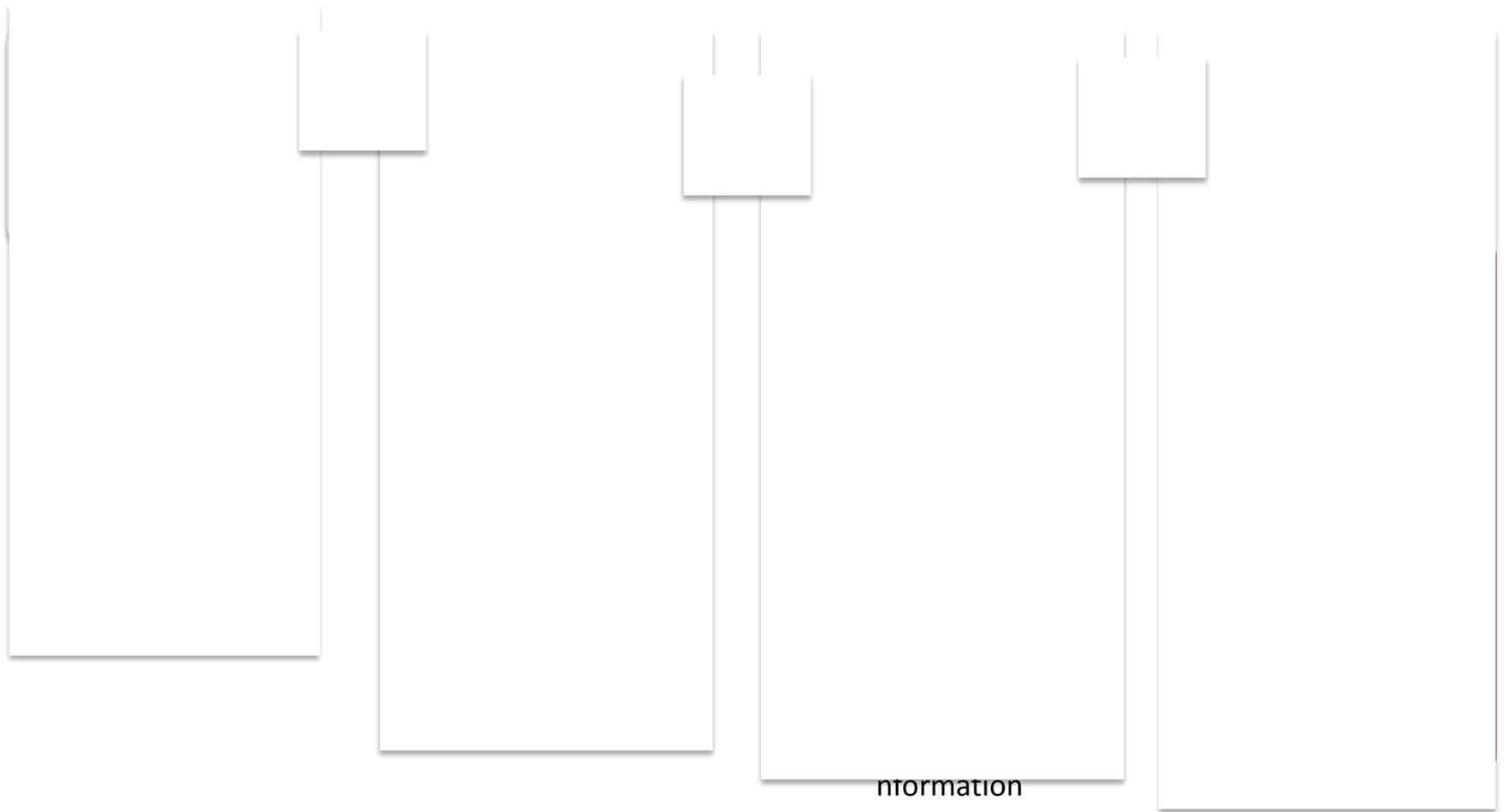
2. Livelihood Strategies the role of cassava varieties



The process of developing knowledge in the context of the smallholder farmers



# The translational research process with farmers in Kenya



Information

This is what we mean by a new community of practice: institutionalizing these relationships of trust.



## Using translational research to enhance farmers' voice in Kenya's GM conversation

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- An opportunity to learn about contexts, farmers fragmentation, shocks, vulnerabilities, livelihood strategies, and cassava, from the perspectives of women and men.
- Facilitated mutual learning between farmers and local cassava expert scientists.
- Developed trust by responding to farmers information needs.
- Farmer representatives, local officials, scientists, stakeholders, met to learn about GM cassava, farmers needs, and how to communicate.

- Simplifying assumptions, such as institutions exist, or that farmers objective is to maximize yield
- Information, individual and short term biases in research (Stone & Flack, (2014)
- Context matters – the political economy of Mexico and GM maize (Carro-Ripalda & Astier 2014) in contrast to South Africa (Hendrickson at al, 2014)
- Many forms of participatory research, often extractive (Valdivia et al, 2010); listening rather than hearing farmers voices (Schnurr & Mujabi-Mujuzi 2014).

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- Creating meaningful knowledge in a context of uncertainty and vulnerability requires engagement and collaboration through participatory processes and institutions.
- The **new** community of practice is not a one project activity. To support innovation that responds to smallholder farmers needs it has to be a program that systematically engages local scientists and institutions.





# Thank you!

[valdiviac@missouri.edu](mailto:valdiviac@missouri.edu)



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### AND TO MIZZOU ADVANTAGE



JOHN TEMPLETON FOUNDATION  
 SUPPORTING SCIENCE-INVESTING IN THE BIG QUESTIONS







# Selected Journal Articles

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## Voice and Choice in Translational Research

# GENDER UNINTENTIONAL



Lack of identification of gender inequalities, gender analysis, or women's empowerment

Limitations on effectiveness  
Unanticipated negative outcomes



I come to work every day because I believe that our foundation has a role to play, along with our thousands of partners, in making the world a more equitable place. A necessary element of that role is helping women and girls realize their own power to advance the well-being of their families, their communities, and their societies.

Responsive intervention  
Gender analysis Evaluations

Measurements of impact

**Fig. 1. Gender Unintention:** (program) to improve outcomes without consideration of gender inequalities. For example, formation of self-help groups is not measured. Thus, the impact of the intervention is not known. Furthermore, there are gender inequalities in the use of contraceptives. These inequalities are systematically identified, and interventions are designed to address them. Thus, gender inequalities are

(for example, a family planning intervention) without explicit content (GEWE) interventions (for example, on GEWE outcomes is not known, and could limit program effectiveness. For example, women who seek abortions are not included in the intervention. Here, gender inequalities are designed to close gender gaps. Thus, gender inequalities are likely to have positive effects.

Melinda French Gates, 2014.



# Lessons from the Templeton Funded Projects

- From Stone and Flack, 2014 the Problem with Farmers' Voice, from lessons in India and the Philippines
- From Carro-Ripalda and Astier, 2014, Silenced voices, vital arguments: smallholder farmers in the Mexican GM maize controversy.
- Schnurr and Mujabi-Mujuzi: "No one asks for a meal they have never eaten." Or, do African farmers want genetically modified crops?" Lessons from Uganda.
- Hendrickson et al (Choice and voice: Creating a community of practice in KwaSulu-Natal, South Africa) actually develop a community of practice farming maize (GM and Hybrid) with smallholder farmers, marginalized from access to land, extension, knowledge of improved practices, and access to markets, historically, pointing to a different context. Beyond GM, storage and transport to market were issues repeatedly mentioned. [similar to Kenya, issues are not necessarily about seed]. Lack of experience, lack of access to inputs and lack of voice are reasons for limited ability to participate in the discussions about GM crops... Community of Practice lifts the voice of those most impacted by the technology - smallholder farmers...
- Contrasts with Kenya and Uganda where the assumption that farmers focus is greater yields is not necessarily true, farmers want other traits.
- Advantages of communities of practice: accessing new networks; in Peru choosing and learning about new organizations and knowledge; in Bolivia accessing funding for their experiments.
- Bringing stakeholders together in a non-hierarchical way that encourages new ways of thinking and new partnerships and thus helps scientists, better understand the concerns and needs of farmers (like in Kenya)
- Valdivia et al (Using translational research to enhance farmers' voice: a case study of the potential introduction of GM cassava in Kenya's coast)
  - Barriers include fragmentation of farmers; context of uncertainty and risk; the differences between knowledge systems of farmers and scientists;
  - Translational research focuses on the social and behavioral dimensions of technological innovations. Two-way communication through participatory processes; addresses understanding of context and building trust
  - Learning, sharing with local scientists, and building knowledge in the context of farmers; responding to farmers needs and providing new information; workshop with diverse stakeholders